

AGENDA ITEM 3b
TABLE 5A - STATUS OF INTERNAL AUDIT PROJECTS
AS OF JUNE 30, 2010

Item	Description of Audit	Status
	Internal Audit, Consulting and Follow-up Projects	
1	Enrollment and maintenance of annuitant dental accounts (FY 2008-09)	Complete
2	Post implementation of cash manager (FY 2008-09)	Complete
3	Data extract analysis (Data warehouse) HCDSS (FY 2008-09)	Complete
4	Medicare Part D (FY 2008-09)	Complete
5	Inflation-linked asset class review (FY 2008-09)	Complete
6	Corporate governance – investing side (FY 2008-09)	Complete
7	Alternative investment management program (FY 2008-09)	Complete
8	Long term care program oversight (FY 2008-09)	Complete
9	Performance audit (FY 2008-09)	Complete
10	Kaiser rate setting methodology (FY 2008-09)	Complete
11	Kaiser Medicare surcharge (FY 2008-09)	Complete
12	Anthem Blue Cross contract compliance (FY 2008-09)	Complete
13	Medco pharmacy benefit manager – rebate review (FY 2007-08)	Complete
14	Trade desk procedures - finalize prior year review (FY 2008-09)	Complete
15	Review and analysis of CalPERS financial statements	Complete
16	Financial Integrity and State Managers Accountability (SAM 20060)	Complete
17	Review of revolving funds	Complete
18	Coordination of management letter from external auditor	Complete
19	Coordination of annual financial statements	Complete
20	Self funded health plan internal administration	Complete
21	Coordinate contract real estate compliance audits	Complete
22	Information security monitoring and oversight	Complete

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23	Enterprise-wide risk assessment and biennial audit plan	Complete
24	Fraud risk assessment	Complete
25	Public agency risk assessment	Complete
26	Public agency special projects and requests	Complete
27	Financial ratio analysis of HMOs and PPOs contracting with CalPERS	Complete
28	Review HMO performance and contract compliance (Blue Shield of California)	Complete
29	Monitor and resolve audit findings to report to the Board of Administration	Complete
31	Quarterly status report to Board of Administration	Complete
30	Monitor and resolve real estate compliance audit findings	Complete
32	Board election management	Complete
33	Financial system integration project	Complete
34	Prefunding plan for health plans – GASB 45	Complete
35	Management consulting/special projects	Complete
36	Enterprise Transition Management (ETM) project	Complete
37	Pension System Resumption (PSR) project	Complete
38	Cost cutting analysis	Complete
39	PSR SME Resource Requirement	Complete
40	Enterprise risk management (ERM)	Complete
41	CERBTf employer data audit program	Complete
1	Medco pharmacy benefit manager – claim review (FY 2007-08)	In Progress-Draft
2	Cash management	In Progress-Draft
3	Centralized collection unit	In Progress-Draft

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4	Investment internal control framework	In Progress-Draft
5	Internal domestic fixed income	In Progress-Draft
6	Real estate program management	In Progress-Draft
7	Transition management – external manager	In Progress-Draft
8	Internal use of retired annuitants	In Progress-Draft
9	Review of third-party administrator of long term care program	In Progress-Draft
1	Third-party services for information technology services	Deferred
2	Ethics helpline	Deferred
3	Enrollment plan for vision	Deferred
4	Trade desk operation (fixed income)	Deferred
5	Security certification and accreditation process	Deferred
6	Contract development for HMO, TPA, and PBM	Deferred
7	Review contract compliance of third-party administrator of self funded program	Deferred